

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

11/28/2001 SSITHIB1 00000065 09993096

01 FC:201

370.00 OP

PTO-1556

(5/87)

*U.S. GPO: 2000-468-987/39595

JC928 U.S. PTO
11/06/01

11-26-01

A

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 28605/37697

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

JC986 U.S. PTO
09/993096
11/06/01

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Walter HOLZER and Rudolf MANUKOV

Title: **APPARATUS AND METHOD FOR STABILIZING THE VOLTAGE
OF AN AC GENERATOR WITH VARIABLE ROTOR SPEED**

1. Type of Application

- ☒ This is a new application for a
☒ utility patent.

2. Application Papers Enclosed

- 1 Title Page
- 4 Pages of Specification
(excluding Claims, Abstract, Drawings & Sequence Listing)
- 2 Pages of Claims
- 1 Page of Abstract
- 1 Sheet of Drawings (Fig. 1)
 - ☒ Formal
 - ☐ Informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **November 6, 2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. **EL564464155US**.


Richard Zimmermann

3. Declaration or Oath

- ☐ Enclosed
 - ☐ Executed by (check all applicable boxes)
 - ☐ Inventor(s)
 - ☐ Legal representative of inventor(s)
(37 CFR 1.42 or 1.43)
 - ☐ Joint inventor or person showing a proprietary interest
on behalf of inventor who refused to sign or cannot be
reached
 - ☐ The petition required by 37 CFR 1.47 and the
statement required by 37 CFR 1.47 are enclosed.
See Item 5D below for fee.
- ☒ Not enclosed - the undersigned attorney or agent is authorized to file
this application on behalf of the applicant(s). An executed declaration
will follow.

4. Small Entity Status

- ☒ Applicant claims small entity status. See 37 CFR 1.27.

5. Additional Papers Enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Declaration of Biological Deposit
- ☐ Computer readable copy of sequence listing containing nucleotide
and/or amino acid sequence
- ☐ Microfiche computer program
- ☐ Associate Power of Attorney
- ☐ Verified translation of a non-English patent application
- ☐ An assignment of the invention
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ Return receipt postcard
- ☐ Other

6. **Priority Applications Under 35 USC 119**

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

☐ are attached.

☒ will follow.

COUNTRY	APPLICATION NO.	FILED
Germany	DE 101 19 668.7	April 20, 2001

7. **Filing Fee Calculation (37 CFR 1.16)**

A. ☒ **Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370.00		
TOTAL	7 - 20	= 0	X 9 =	\$ 0.00	X 18 =	
INDEP.	1 - 3	= 0	X 42 =	\$ 0.00	X 84 =	
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 140 =	\$ 0.00	+ 280 =	
Filing Fee:				\$370.00	OR	

B. ☐ **Design Application (\$165.00/\$330.00)** Filing Fee: \$ _____

C. ☐ **Plant Application (\$255.00/\$510.00)** Filing Fee: \$ _____

D. **Other Fees**

☐ Recording Assignment [Fee -- \$40.00 per assignment] \$ _____

☐ Petition fee for filing by other than all the inventors
or person on behalf of the inventor where inventor refused
to sign or cannot be reached [Fee -- \$130.00] \$ _____

☐ Other \$ _____

Total Fees Enclosed \$370.00

8. Method of Payment of Fees

- ☒ Enclosed check in the amount of: \$370.00
- ☐ Charge Deposit Account No. 13-2855 in the amount of: \$_____
- A copy of this Transmittal is enclosed.
- ☐ Not enclosed

9. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

10. Correspondence Address

Customer No.: 04743

Respectfully submitted,

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By:

CEM

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November 6, 2001

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